



**CERTIFICATE OF MAILING**

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I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents**

**Box: RCE**

**Washington, D.C. 20231**

on

August 31, 2001

  
Gloria L. Knox

In re application of: **Roitman, et al.**

U.S. Serial Number: 09/197,012

Art Unit: 2879

Examiner: Guharay, K.

Filing Date: November 20, 1998

Our Reference Number: 10981133-1

Title: Structure to Improve the Reliability of Organic and Polymer Light Emitting Devices and Method for Producing Same

The following is a list of documents enclosed:

Return Postcard

Request for Continued Examination (RCE) 37 CFR 1.114

Fee Transmittal

Preliminary (Supplemental) Amendment in RCE

Amendment/Response Transmittal Letter

Authorization to charge Deposit Account 50-1078 the amount of \$710.00 for RCE filing Fees.

Authorization to charge Deposit Account 50-1078 the amount of \$224.00 for additional claim fees as shown in the Preliminary Amendment.

Further, the Commissioner is authorized to charge Deposit Account No. 50-1078 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 50-1078.

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Roitman et al.

Serial No.: 09/197,012

Filing Date: 11/20/98

Examiner: Guharay, K.

Group Art Unit: 2879

Title: Structure to Improve the Reliability of Organic and Polymer Light Emitting Devices and Method for Producing Same

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
(X) New fee as calculated below ( ) Supplemental Declaration  
( ) No additional fee (Address envelope to "Box Non-Fee Amendments")  
( ) Other: (fee \$ )

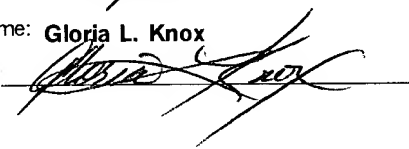
CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	28	MINUS	20	= 8	X \$18	\$ 144
INDEP. CLAIMS	4	MINUS	3	= 1	X \$80	\$ 80
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$270	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$390.00	3RD MONTH \$890.00	4TH MONTH \$1390.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 224

Charge \$ 224 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: August 31, 2001

Typed Name: Gloria L. Knox

Signature: 

Respectfully submitted,

Roitman et al.

By 

Michael J. Tempel

Attorney/Agent for Applicant(s)

Reg. No. 41,344

Date: 08/31/01

AGILENT TECHNOLOGIES, INC.  
Legal Department, DL429  
Intellectual Property Administration  
P. O. Box 7599  
Loveland, Colorado 80537-0599

PATENT APPLICATION

ATTORNEY DOCKET NO. PA0981133-1

SEP 04 2001

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Roitman, et al.

Serial No.: 09/197,012

Examiner: Guharay, K.

Filing Date: 11/20/98

Group Art Unit: 2879

Title: Structure to Improve the Reliability of Organic and Polymer Light Emitting Devices and Method for Producing Same

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

REQUEST FOR CONTINUED EXAMINATION (RCE) 37 CFR 1.114

Subsection (b) of 35 U.S.C. 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Sir:

This is a Request for Continued Examination (RCE) under CFR 1.114 of the above-identified applicaiton.

**NOTE:** 37 CFR 1.114 is effective on May 20, 2000. If the above- application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under CFR 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

Submission under 37 CFE 1.114

☒ Previously submitted:

☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on August 1, 2001  
(Any unentered amendment(s) referred to above will be entered).

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

☐ Other \_\_\_\_\_.

☒ Enclosed:

☒ Amendment/Reply

☐ Affidavit(s)/Declarations(s)

☐ Information Disclosure Statement (IDS)

☐ Other \_\_\_\_\_.

Miscellaneous

☐ Suspension of action is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months.  
The fee for this Suspension is (37 CFR 1.17(i)) \$130.00

☐ Other \_\_\_\_\_.

09/05/2001 KZHE:EE 00000045 301076 09197012

01 09/05/2001 11:00 AM  
02 09/05/2001 11:00 AM  
03 09/05/2001 11:00 AM

(X) RCE filing fee \$710.00

( ) A Petition for Extension of Time

( ) one month	\$110.00
( ) two months	\$390.00
( ) three months	\$890.00
( ) four months	\$1390.00

Please charge to Deposit Account 50-1078 the sum of \$710.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Roitman, et al.

By 

Michael J. Tempel

Attorney/Agent for Applicant(s)

Reg. No. **41,344**

Date: 08/31/01

Telephone No.: **(770) 933-9500**

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: August 31, 2001 or

( ) I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Gloria L. Knox

Signature: 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
for FY 2000

SEP 04 2000

Patent fees are subject to annual revision.

Complete If Known

Application Number **09/197,012**  
 Filing Date **November 20, 1998**  
 First Named Inventor **Roitman, et al.**  
 Examiner Name **Guhafay, K.**  
 Group / Art Unit **2879/001**  
 Attorney Docket No. **1098/133-1**

TOTAL AMOUNT OF PAYMENT (\$) **934.00****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge to the following Deposit Account,

Deposit Account Number

**50-1078**

Deposit Account Name

**Agilent Technologies, Inc.**

- ☒ Charge all indicated fees and any additional fee required or credit any overpayment.  
☒ Charge any additional fee required and requested to credit any overpayment.

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Credit Card
**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 8	18.00	144
4	-3** = 1	80.00	80
		270.00	

\*\*or number previously paid, if greater; For Reissue, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent Claims in excess of 3
104	270	204	135	Multiple dependent claims in excess of 3
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **224**)**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension of time within second month	
117	890	217	445	Extension of time within third month	
118	1,390	218	695	Extension of time within fourth month	
128	1,890	228	945	Extension of time within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (time number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	710
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **710****SUBMITTED BY**

Typed or Printed Name

**Michael J. Tempel**

Signature

Date

**08/31/01**

Complete (if applicable)

Reg. Number

**41,344**

Deposit Account User ID